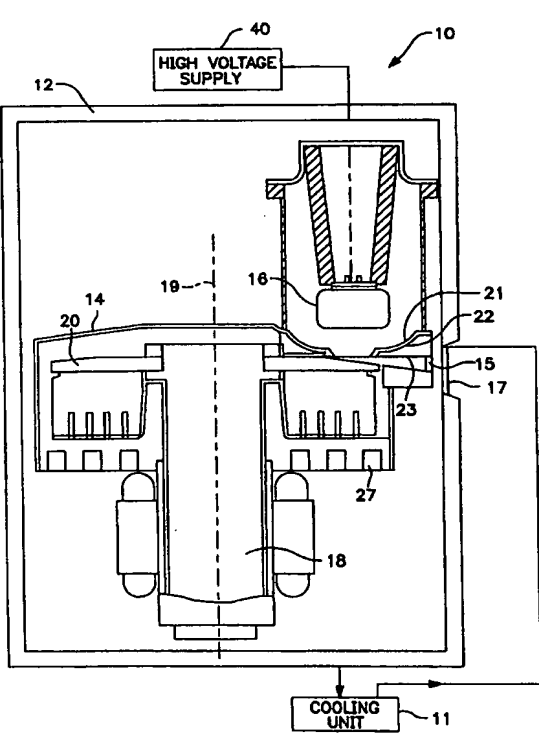


**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>H01J 35/10</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/08305</b> <b>(43) International Publication Date:</b> 18 February 1999 (18.02.99)
<b>(21) International Application Number:</b> PCT/US98/10554 <b>(22) International Filing Date:</b> 22 May 1998 (22.05.98)  <b>(30) Priority Data:</b> 08/906,701 6 August 1997 (06.08.97) US  <b>(71) Applicant:</b> VARIAN ASSOCIATES, INC. [US/US]; 3050 Hansen Way, Palo Alto, CA 94304 (US).  <b>(72) Inventors:</b> ANDREWS, Gregory, C.; 8129 Grambling Way, Sandy, UT 84094 (US). RUNNOE, Dennis, H.; 53 South 600 East, Salt Lake City, UT 84102 (US). RICHARDSON, John, E.; 7225 Reindeer Drive, Salt Lake City, UT 84121 (US). BOYE, James, R.; 68 G Street, Salt Lake City, UT 84103 (US).  <b>(74) Agents:</b> FISHMAN, Bella et al.; Varian Associates, Inc., 3100 Hansen Way, M/S E-339, Palo Alto, CA 94304 (US).		<b>(81) Designated States:</b> IL, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> HIGH-PERFORMANCE X-RAY GENERATING APPARATUS WITH COOLING SYSTEM  <b>(57) Abstract</b> <p>An X-ray generation apparatus (10) has a housing (12) comprising an evacuated envelope (14) with a rotatable anode target (20) surrounded by an all metal grounded exterior structure and a cooling system. The cooling system comprises a coolant circulating system with heat exchanger and means for circulating a fluid coolant through an interior of the X-ray generating apparatus; a hollow shield structure (22) with center aperture for passing electron beam; and a cooling block (27) which is disposed proximate to the rotatable anode target and comprises a disk with a plurality of concentric annular channels formed by concentric annular partitions. The shield structure and the disk of the cooling block are made of thermally conductive material. An interior of the shield structure is filled with structures such as pins (35), fins (32) or pack bed (36) which are made of thermally conductive materials. The fluid coolant is circulated through the shield structure, then into the plurality of channels of the cooling block and via an interior of the housing to the heat exchanger for efficient cooling of the X-ray generating apparatus.</p> 		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## HIGH-PERFORMANCE X-RAY GENERATING APPARATUS WITH COOLING SYSTEM

5

**FIELD OF THE INVENTION**

This invention relates to high-performance X-ray generating apparatus and, more particularly, to X-ray generating apparatus with high patient throughput.

10 **BACKGROUND OF THE INVENTION**

Conventional X-ray generating apparatus generally consist of an outer housing containing a vacuum envelope with cathode and anode electrodes which are spaced axially. Electrons are launched from a hot tungsten filament and gain energy by traversing the gap between the cathode and the anode with a strong electric field. The electrons strike an anode target with a material of a high atomic number such as tungsten and rhenium, and X-ray are created during the rapid deceleration and scattering of the electrons therein. However, only a very small fraction of the kinetic energy of the impinging electrons is converted into X-rays, while the remaining energy is being converted into heat. As a result, the target material heats up rapidly at the point of electron impact. To dissipate or distribute the heat the anode is usually adapted to rotate inside the vacuum envelope so that the heated spot on the electron-receiving surface of its target will be spread over a large area. The patient throughput of an X-ray generating apparatus is substantially limited by the ability to cool down its X-ray tube. Most conventional Computerized Tomography (CT) X-ray tubes use one-second scanning protocols as maximum scanning rate. An efficient removal of heat from the rotating target is one of the main problems of successful utilization of these CT X-ray tubes in CT scanners.

**SUMMARY OF THE INVENTION**

It is a main object of the present invention to provide a high-performance X-ray generating apparatus with high patient throughput having an improved cooling system.

It is a more specific object of the present invention to provide an X-ray generating apparatus which allows for increasing the fluid through its components thereby increasing the heat transfer through the cooling system which can use sub-second scanning protocols

utilizing the improved cooling system.

It is another object of the present invention to provide an X-ray generating apparatus with an improved cooling system capable of substantially reducing patient throughput constraints on prior art X-ray generating apparatus.

5 X-ray generating apparatus embodying this invention, with which the above and other objects can be accomplished, comprises a housing with an evacuated envelope having an electron source and a rotatable anode target which are spaced from each other and a cooling system. The cooling system comprises a hollow shield structure, a cooling block and an external cooling unit having means for circulating a fluid coolant and a heat  
10 exchanger. A hollow shield structure is placed between the electron source and the anode target for reducing the heat load of the anode structure and to capture back-scattered secondary electrons causing off-focal radiation. A plurality of fins or pins are incorporated within an interior of the shield structure to increase a heat dissipation thereof. A metal foam may be placed between the fins. According to one of the embodiments, a cavity of  
15 the hollow shield structure may be filled in completely with thermally conductive foam. The cooling block is disposed proximately to the rotatable anode target and comprises a disk with a plurality of annular parallel channels formed by a plurality of annular parallel partitions therebetween. By directing the fluid coolant through the parallel channels of the cooling block, the fluid velocity is reduced thereby reducing friction losses and the  
20 associated pressure drop. The means for circulating the fluid coolant forces the coolant through the hollow shield structure, then through the plurality of channels of the cooling block disk and via the interior of the housing to the heat exchanger.

#### **BRIEF DESCRIPTION OF DRAWINGS**

25 The accompanying drawings, which are incorporated in and form a part of this specification, illustrate embodiments of the invention and, together with the description, serve to explain the principles of the invention. In the drawings:

Fig. 1 is a schematic cross-sectional view of an X-ray generating apparatus embodying the present invention.

30 Fig. 2 is a partially cut-away isometric view of a portion of the X-ray generating apparatus of Fig. 1.

Fig. 3a is a schematic cross-sectional view of a shield structure with a plurality of fins incorporated therein.

Fig. 3b is a schematic cross-sectional view of the shield structure with a plurality of fins within its interior and thermally conductive foam placed between the fins.

5 Fig. 3c is a schematic cross-sectional view of the shield structure with a plurality of pins incorporated therein.

Fig. 3d is a schematic cross-sectional view of the shield structure which is filled with a thermally conductive foam.

10 Fig. 3e is a schematic cross-sectional view of the shield structure filled with thermally conductive spheres which are brazed therebetween to form a pack bed structure which is connected to the inner walls of the shielded structure.

Fig. 4a is a schematic cross-sectional view of an anode assembly with a cooling block of the X-ray generating apparatus of the present invention.

15 Fig. 4b is a sectional view of the cooling block of the X-ray generating apparatus of the present invention taken along the line A-A.

Fig. 5 is a schematic block diagram which shows circulating of the fluid coolant within the X-ray generating apparatus of the present invention.

#### **DETAILED DESCRIPTION OF THE INVENTION**

20 Fig. 1 shows generally X-ray generating apparatus 10 incorporating an improved cooling system according to the present invention, comprising housing 12 with evacuated envelope 14. Evacuated envelope 14 includes electron source 16 and rotatable anode assembly 18 having anode target 20. Evacuated envelope 14 and housing 12 respectively have windows 15 and 17. Electrons from electron source 16 impinge on anode target 20 which rotates with anode assembly 18 around its axis of rotation 19, and X-rays generated  
25 thereby can escape through windows 15 and 17.

The cooling system of X-ray generating apparatus 10 comprises annular shield structure 22, cooling block 27 and coolant unit 11 which comprises a heat exchanger and a pump (not shown) for circulating a fluid coolant from the heat exchanger via shield  
30 structure 22 to cooling block 27 and through an interior of housing 12.

In order to protect anode target 20 from the back-scattered electrons and for heat transfer purposes, annular shield structure 22 made of a thermally conductive material, such as copper, is provided between electron source 16 and anode target 20. As shown in Fig. 2, this shield structure 22 has a concave top surface 21 which faces electron source 16, and a flat bottom surface 23 which faces the anode target 20, and a cylindrical opening for allowing electrons from electron source 16 to pass there through towards anode target 20. The interior of shield structure 22 is hollow, serving as a passageway for a cooling fluid. The impinging electrons heat anode target 20, and the heat is radiated by anode target 20 to evacuated envelope 14. Shield structure 22 serves to substantially reduce the target heat load by conducting heat to the cooling fluid which flows therethrough. The principal design and benefits of utilizing the shield structure between the electron source and the target are disclosed in the U.S. Patent Application No. 08/660,617 "X-ray Generating Apparatus with a Heat Transfer Device" assigned to the Assignee of the present invention.

In order to enhance the cooling performance of the shield structure and increase the heat transfer area, according to the embodiment shown in Fig. 3a, a plurality of fins 32 are provided inside shielding structure 22. The space between fins may be filled in with a metal foam such as copper foam 33 as shown in Fig. 3b. Also the fins may be constructed such that they incorporate "knurling" or irregularities 34 on outer surfaces of the fin's disk as shown in Fig. 3a. The foam and the knurling increase the heat transfer rate by increasing the wetted area and increases the number of nucleate boiling sites. The heat transfer rate may also be increased by sand blasting the wetted areas to give them a roughened surface for obtaining additional wetting surface and nucleate boiling sites.

The fins may be slit in the axial direction to form pins 35 as shown in Fig. 3c. According to yet another embodiment shown in Fig. 3d the entire hollow cavity formed by shield structure 22 may be filled with metal foam 33. Metal foam 33 is preferably composed of copper and brazed to the interior surface of shield structure 22.

According to still another embodiment, the cavity of shield structure 22 may be filled with spheres made of thermally conductive material, brazed therebetween so as to form a pack bed 36 configuration and attached preferably by brazing to the inside walls of the shield structure as shown in Fig. 3e.

Shield structure 22 is heated also due to the secondary electron bombardment on its concave top surface 21 as well as at the tip abutting the opening at its center. To further enhance the performance of the apparatus 10, selective coatings may be applied to the shield structure 22. The concave top surface 21 may be coated with a material having a low atomic number for effective electron collection. The bottom surface 23 may be coated with a material having a high absorptivity to increase the heat transfer from the target 20.

As shown in Fig. 2, anode target 20 has fins 25 which protrude backward towards a cooling block 27 disposed behind the anode assembly 18 (shown in Fig. 1). Cooling block 27 is adapted to be cooled by a fluid coolant which flows therethrough and is provided with forward protrusions 28. When anode target 20 is rotated, anode target fins 25 pass between the forward corresponding protrusions 28 from cooling block 27 for increasing heat transfer from anode assembly 18 to cooling block 27. In Fig. 4a cooling block 27 is disposed behind anode assembly 18. As better seen in Fig. 4b, cooling block 27 comprises several parallel flow paths which are formed by annular partitions for distribution of the fluid coolant therein. Such distribution of the fluid coolant within concentric annular paths reduces the fluid coolant pressure drop through cooling block 27 thereby increasing the fluid flow through shield structure 22 which leads to increasing the heat transfer throughout the entire cooling system.

Rotatable anode assembly 18 is surrounded by all metal grounded exterior structure 30. Dual ended high voltage conventionally used for prior art X-ray generating apparatus prevents intimate cooling of the anode because the distance between fins 25 and the protrusions in the cooling block is too small to withstand the anode assembly high voltage.

With a grounded anode assembly, anode target 20 has more surface area to radiate heat from cooling block 27. Another advantage of grounding the anode is that the quantity of the back-scattered electrons leaving the surface of the target and collected by shield structure 22 increases significantly, further reducing the amount of heat the anode and windows must absorb as well as reducing the amount of off-focal radiation produced. As much as 40% of the total waste energy is collected by shield structure 22 in a grounded anode tube as compared to 15% with metal center section dual ended X-ray tube and 0% in X-ray tubes having a glass envelope. Another advantage of grounding the anode is that the high voltage is confined in the cathode area of the X-ray tube. Means for applying a

high negative voltage 40 to the cathode area provides a strong electric field between electron source 16 and anode target 20, which serves to accelerate the emitted electrons from electron source 16 towards anode target 20.

5 In a vast majority of CT X-ray generating apparatus, mineral oil is used as a heat transfer medium. If this type of oil is subjected to temperature above its boiling point, it will degrade and form deposits on hot surfaces within the cooling system. The deposits materials will cause inefficiencies in the cooling performance of surfaces. According to this invention, a fluid coolant composed of a water based solution or synthetic cooling fluid is used to facilitate deposit-free cooling within the X-ray tube and the housing thereof.

10 Examples of a coolant liquid, which may be used advantageously according to this invention, comprise *SylTherm* (trade name owned by Dow Chemical Company) which is a modified polydimethylsiloxane water, water glycol mixture, *Flourinert* electronic cooling fluid (*Flourinert* is a 3M trade name).

Fig. 5 shows schematically a circulation of a fluid coolant according to the present invention which efficiently cools the X-ray generating apparatus of Figs. 1 and 2. The hot cooling liquid from housing 12 is introduced into an external cooling unit 11.

15 Conventional external cooling units comprising a heat exchanger and a pump for circulating the cooling fluid within the X-ray tube housing may be utilized for the present invention. Cooled fluid coolant is initially introduced into the interior of shield structure

20 22. After absorbing heat from shield structure 22 which receives heat from anode target 20, the cooling fluid is directed into the plurality of annular channels of the disk of cooling block 27 disposed behind anode assembly 18 to cool the forward protrusions through which heat is transferred from anode assembly 18. The cooling liquid is thereafter circulated inside housing 12 and is then directed into external cooling unit 11.

25 The invention has been described above with reference to the embodiments which are intended to be illustrative, not as limiting. Different modifications and variations are possible within the spirit of this invention. With the incorporation of the novel features according to this invention, X-ray generating apparatus can be operate under high energy scanning protocols of 1 million to 2 million joules and still improve patient throughout.

30 All such modifications and variations that may be apparent to a person skilled in the art are intended to be within the scope of this invention.



**WHAT IS CLAIMED IS:**

1. An X-ray generating apparatus comprising:

a housing;

an evacuated envelope disposed within said housing;

5 an electron source disposed within said evacuated envelope for generating electrons;  
a rotatable anode target disposed within said evacuated envelope for producing X-rays said rotatable anode target being spaced apart from said electrons source;

a hollow shield structure disposed between said rotatable anode target and said  
electron source, said shield structure having an aperture for passing electrons to said  
10 rotatable anode target;

a voltage source for providing an electric field between said electron source and said  
rotatable anode target;

an electrically conductive exterior structure surrounding said rotatable anode target,  
said exterior structure being grounded to maintain said anode target at earth electrical  
15 potential;

a cooling block disposed proximally behind said rotatable anode target, said cooling  
block comprising a plurality of annular channels divided by a plurality of annular partitions  
for directing a fluid coolant therethrough; and

an external cooling unit having a heat exchanger disposed outside said housing and  
20 means to circulate fluid coolant from said heat exchanger via said shield structure to said  
cooling block and from said cooling block through said housing back to said heat  
exchanger.

2. The X-ray generating apparatus of claim 1, wherein said rotatable anode target has  
25 fins protruding backward towards said cooling block, and said cooling block has forward  
protrusions towards said target, said target fins being adapted to pass between said  
protrusions when said anode target is rotated and to transfer heat to said cooling block  
through said protrusions.

3. The X-ray generating apparatus of claim 1, wherein said hollow shield structure is  
30 made of a thermally conductive material and comprises a top concave surface facing said

electron source, a flat bottom surface facing said anode target, and a plurality of fins which are disposed within an interior of said shield structure.

4. The X-ray generating apparatus of claim 3, wherein said shield structure further  
5 comprises a metal foam which is disposed between said fins of said shield structure.
5. The X-ray generating apparatus of claim 4, wherein said shield structure is made of preferably copper, and wherein said fins are made of preferably copper.
- 10 6. The X-ray generating apparatus of claim 2, wherein said hollow shield structure further comprises a concave top and a flat bottom walls, and a plurality of pins which are protruded from said concave top wall toward said flat bottom wall, said shield structure and pins are made of a thermally conductive material.
- 15 7. The X-ray generating apparatus of claim 2, wherein said hollow shield structure is filled with a plurality of metal spheres which are brazed therebetween and connected to inner walls of said shield structure.
8. An X-ray generating apparatus comprising:  
20 an evacuated envelope;  
a rotatable anode target being disposed inside said evacuated envelope for producing X-rays;  
an electrically conductive exterior structure surrounding said rotatable anode target, said exterior structure being grounded to maintain said anode target at earth electrical  
25 potential;  
an electron source disposed apart from said anode target for generating electrons;  
a hollow shield structure disposed between said rotatable anode target and said electron source, said shield structure being filled with thermally conductive foam and having an opening for permitting electrons from said electron source to pass therethrough  
30 to reach said rotatable anode target;

a cooling block disposed proximally behind said rotatable anode target and comprising thermal disc with a plurality of annular channels divided by annular partitions therebetween; and

5 a coolant circulating system comprising a heat exchanger and means for circulating a fluid coolant from said heat exchanger via said shield structure and said plurality of channels of said cooling block and back to said heat exchanger.

9. The X-ray generating apparatus of claim 8, wherein said coolant is preferably a water-based liquid.

10

10. The X-ray generating apparatus of claim 9, wherein said shield structure is made of a thermally conductive material and comprises a top concave surface facing said electron source and a flat bottom surface facing said anode target.

15

11. An X-ray generating apparatus comprising:

a housing;

an evacuated envelope disposed within said housing;

a rotatable anode target being disposed inside said evacuated envelope for producing X-rays;

20

an electron source disposed apart from said anode target for generating electrons;

and

a cooling system comprising :

a thermally conductive shield structure disposed between said rotatable anode target and said electron source and having an aperture for passing electrons from said electron source onto said rotatable anode target;

25

a cooling block disposed proximally behind said rotatable anode target and comprising thermal disc with a plurality of annular channels formed by annular partitions; and

an external cooling unit disposed outside said housing and comprising a heat exchanger and a pump for circulating a fluid coolant from said heat exchanger via said shield structure and said plurality of channels of said cooling block, through an

30

interior of said housing and to said heat exchanger, wherein said fluid coolant passing through said plurality of channels of said cooling block characterized by a substantial pressure drop reduction resulting in increase of amount of said fluid coolant passing through said shield structure.

5

12. The X-ray generating apparatus of claim 11, wherein said shield structure has a cavity for passing said fluid coolant therethrough.

1 / 5

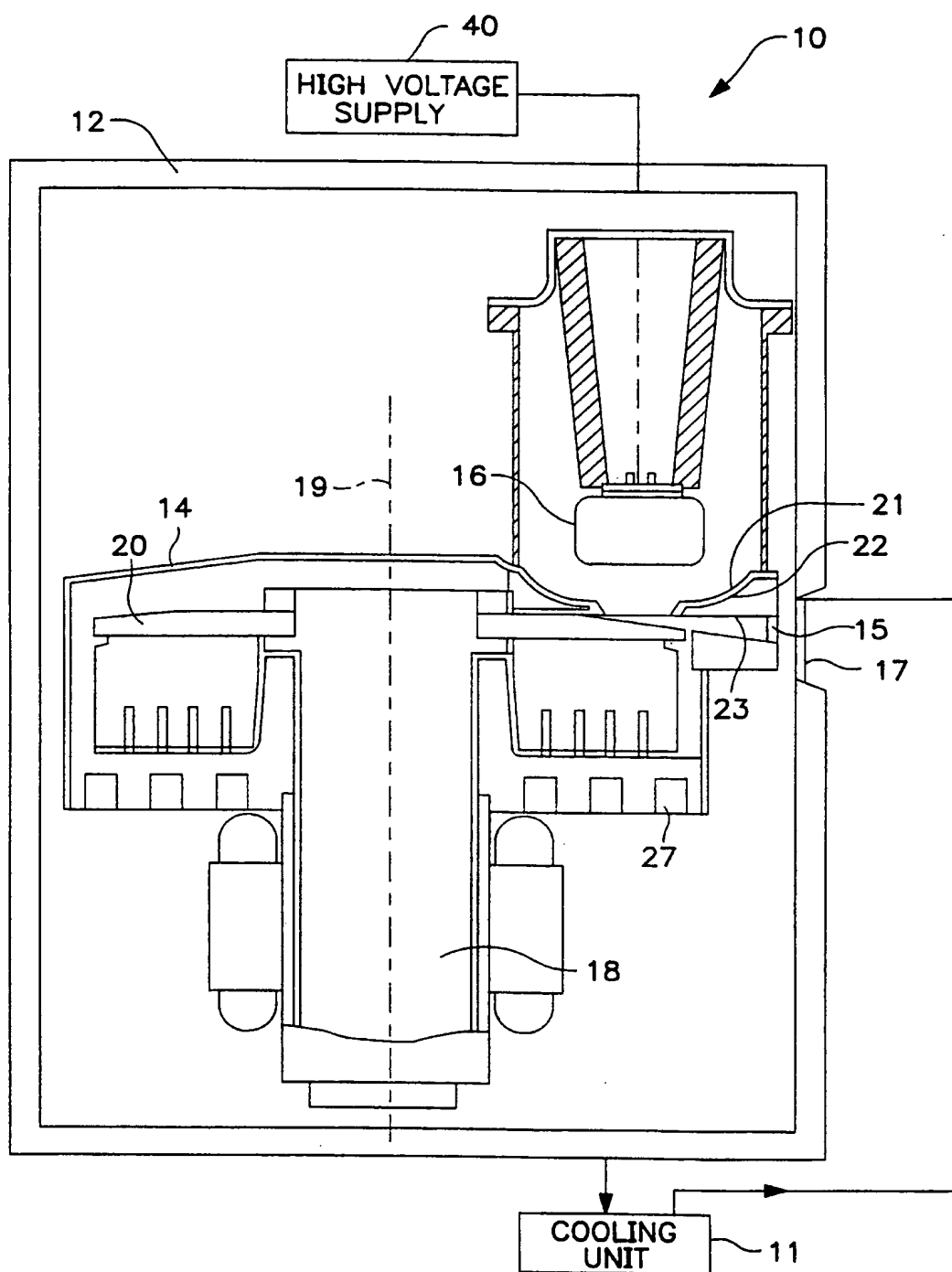


FIG. 1

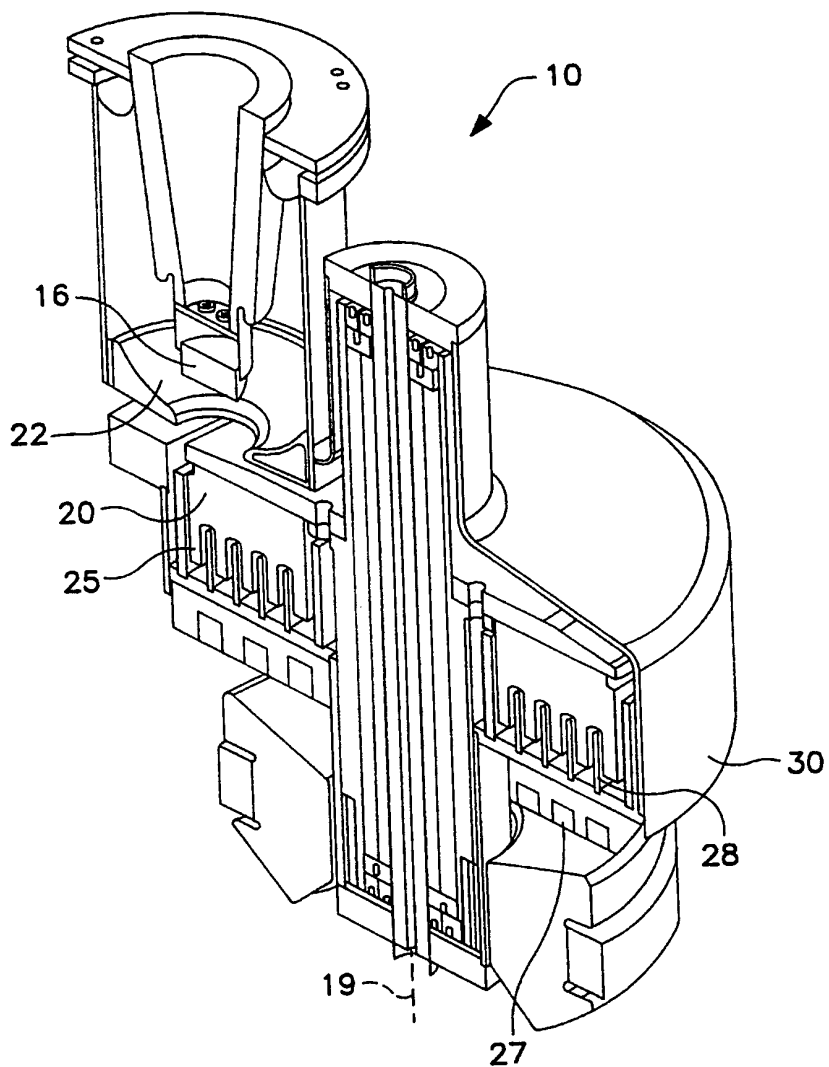


FIG. 2

3 / 5

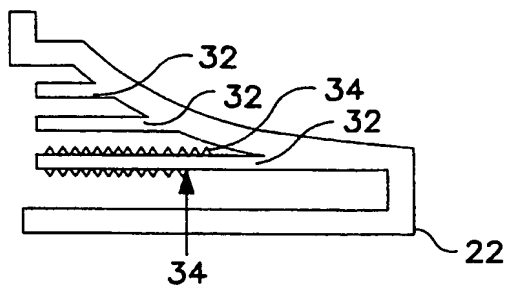


FIG. 3a

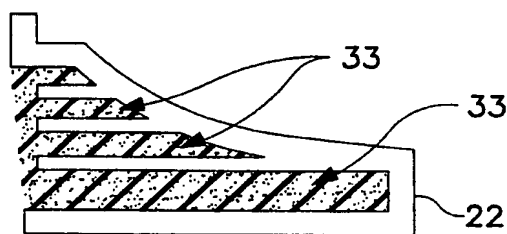


FIG. 3b

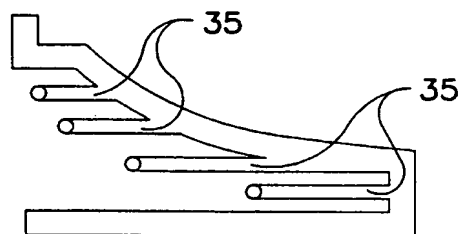


FIG. 3c

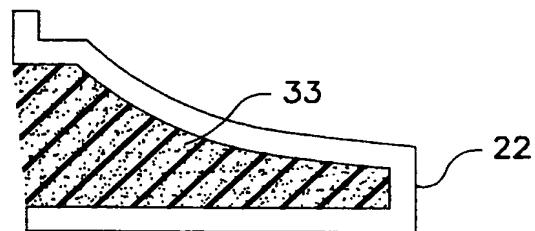


FIG. 3d

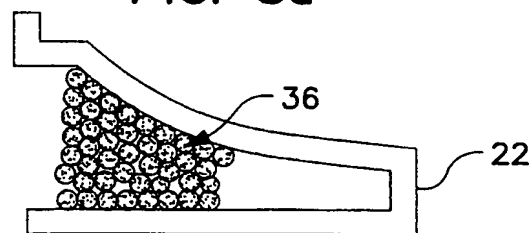
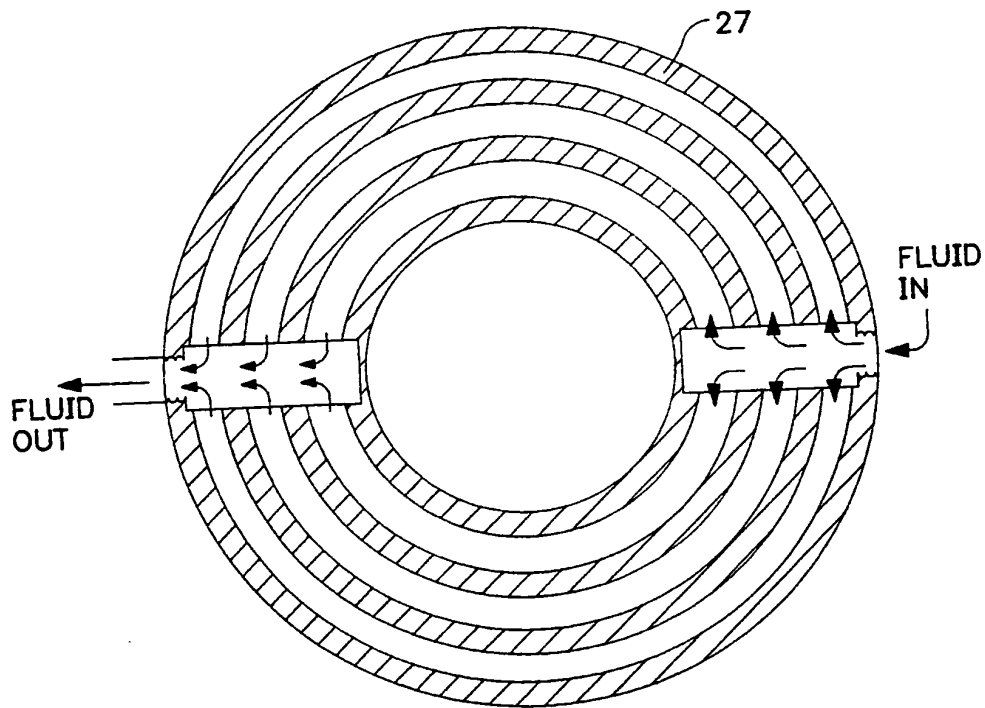
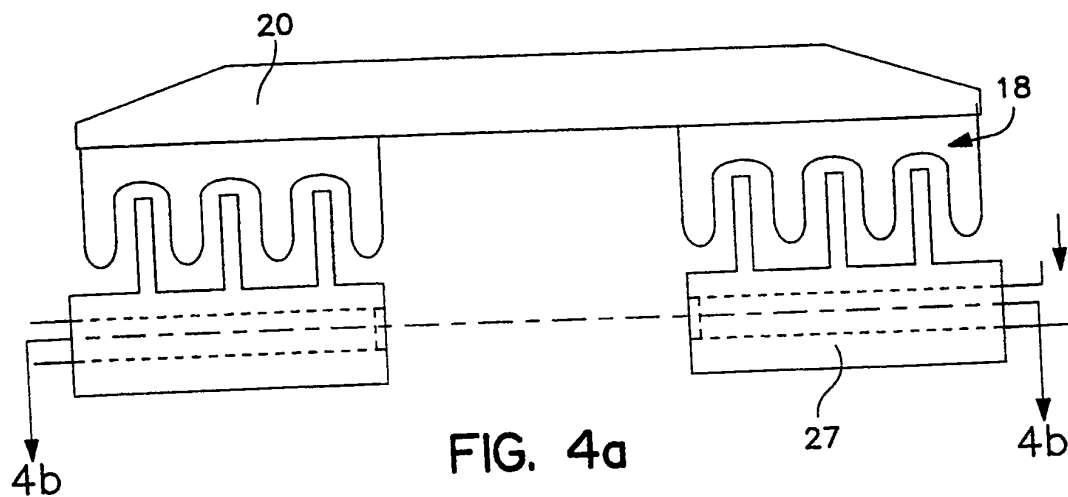


FIG. 3e

SUBSTITUTE SHEET (RULE 26)

4 / 5





5/ 5

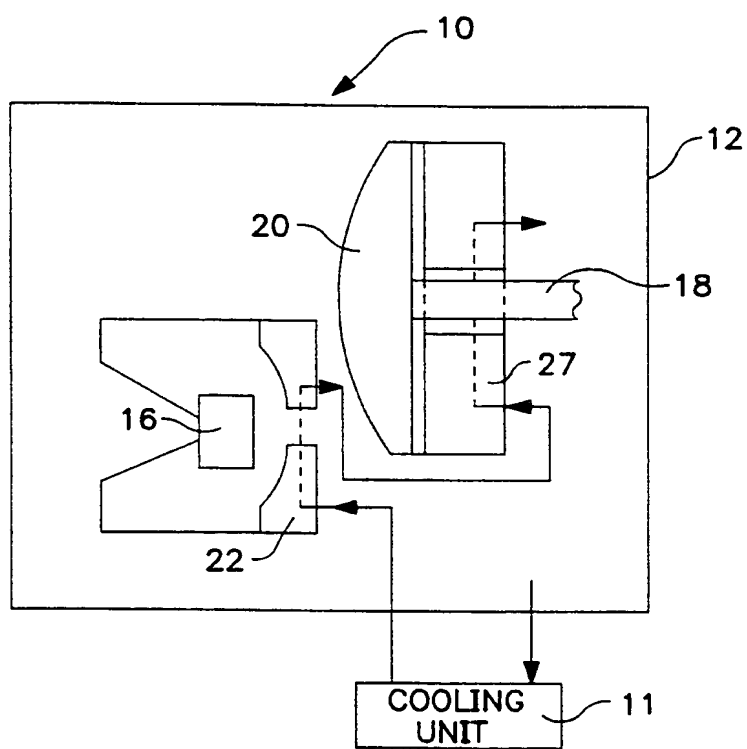


FIG. 5

# INTERNATIONAL SEARCH REPORT

In ternational Application No  
PCT/US 98/10554

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H01J35/10

According to International Patent Classification(IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	US 5 689 542 A (LAVERING GORDON R ET AL) 18 November 1997 cited in the application see claims 1-32	1
A	FR 2 432 764 A (SIEMENS AG) 29 February 1980 see claim 1	1
A	EP 0 353 966 A (GEN ELECTRIC) 7 February 1990 see claim 1	1

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"g" document member of the same patent family

Date of the actual completion of the international search

13 July 1998

Date of mailing of the international search report

21/07/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Van den Bulcke, E

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No  
PCT/US 98/10554

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5689542 A	18-11-1997	EP 0842593 A WO 9747163 A	20-05-1998 11-12-1997
FR 2432764 A	29-02-1980	DE 2833751 A US 4255685 A	14-02-1980 10-03-1981
EP 0353966 A	07-02-1990	US 4943989 A CA 1304117 A DE 68918026 D DE 68918026 T JP 1893769 C JP 2086035 A JP 6014455 B	24-07-1990 23-06-1992 13-10-1994 04-05-1995 26-12-1994 27-03-1990 23-02-1994

**THIS PAGE BLANK (USPTO)**